



PATENT  
Attorney Docket No. **TFUND-04809**

GAU 2162  
#2  
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10-30-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William C. O'Neil, Jr. *et al.*

Serial No.: 09/703,562

Group No.:

Filed: 11/01/2000

Examiner:

Entitled: **Methods And Systems For Applying Rebates To Higher Education**

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**INFORMATION DISCLOSURE  
STATEMENT TRANSMITTAL**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: September 28, 2001

By: 

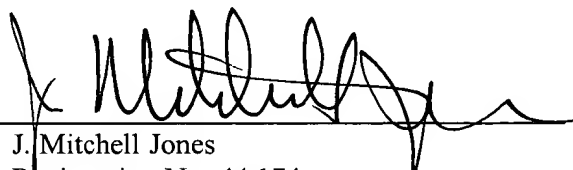
Mary Ellen Wate

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of an Office Action, therefore, Applicant's believe no fee is required. If the Commissioner deems other, the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: September 28, 2001

  
J. Mitchell Jones

Registration No. 44,174

MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105  
415/904-6500



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William C. O'Neil, Jr., and Michael P. Thompson  
Serial No.: 09/703,562 Group No.: not assigned  
Filed: 11/01/00 Examiner: not assigned  
Entitled: **Methods and Systems For Applying Rebates To  
Higher Education**

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on **September 28, 2001**.

By: Mary Ellen Waite  
Mary Ellen Waite

Dear Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

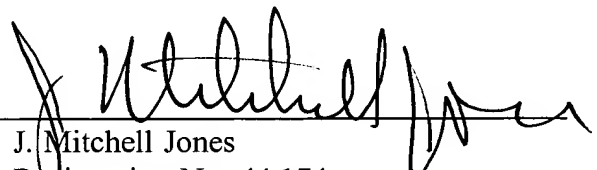
Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,014,643;
- U.S. Patent No. 6,009,412;
- U.S. Patent No. 6,009,411;
- U.S. Patent No. 5,999,914;
- U.S. Patent No. 5,991,736;
- U.S. Patent No. 5,970,480;

- U.S. Patent No. 5,970,469;
- U.S. Patent No. 5,774,870;
- U.S. Patent No. 5,761,648;
- U.S. Patent No. 5,729,693;
- U.S. Patent No. 5,715,314;
- U.S. Patent No. 5,708,780;
- U.S. Patent No. 5,513,102;
- U.S. Patent No. 5,467,269;
- U.S. Patent No. 5,287,268;
- U.S. Patent No. 5,117,355;
- U.S. Patent No. 4,750,119;
- U.S. Patent No. 5,727,163;
- U.S. Patent No. 5,664,112;
- U.S. Patent No. 6,041,308;
- U.S. Patent No. 6,029,141;
- WO99/12103; and
- WO93/13488.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: September 28, 2001

  
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J. Mitchell Jones  
Registration No. 44,174

MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, California 94104  
415/705-8410